RECEIVED

MAR 2 8 2003



1600

ENTERED

RAW SEQUENCE LISTING

PATENT APPLICATION: US/09/830,691A

DATE: 03/19/2003 TIME: 14:36:22

Input Set : A:\Seqlist.txt

Output Set: N:\CRF4\03192003\I830691A.raw

```
4 <110> APPLICANT: Choi, Eui-Sung
 5
         Rhee, Sang-Ki .
 6
         Sohn, Jung-Hoon
 7
         Park, Soo-Dong
 8
         Lee, Yoon-Hyoung
 9
         Lee, Seung-Jae
10
         Jang, Jae-Kweon
11
         Choi, Seok-Keun
12
         Son, Young-Rok
14 <120> TITLE OF INVENTION: VECTOR FOR THE TRANSFORMATION OF PHAFFIA
         RHODOZYMA AND PROCESS OF TRANSFORMATION THEREBY
18 <130> FILE REFERENCE: 118.12-US-WO
20 <140> CURRENT APPLICATION NUMBER: 09/830,691A
21 <141> CURRENT FILING DATE: 2001-04-26
23 <150> PRIOR APPLICATION NUMBER: KR 1998/46547
24 <151> PRIOR FILING DATE: 1998-10-31
26 <150> PRIOR APPLICATION NUMBER: PCT/KR99/00265
27 <151> PRIOR FILING DATE: 1999-05-29
29 <160> NUMBER OF SEQ ID NOS: 20
31 <170> SOFTWARE: FastSEQ for Windows Version 4.0
33 <210> SEQ ID NO: 1
34 <211> LENGTH: 1223
35 <212> TYPE: DNA
36 <213> ORGANISM: Phaffia rhodozyma
38 <400> SEQUENCE: 1
39 atggtcaacg ttcccaagac tcgacgtgag ttatagcaat ttcaacaact ctccagacga 60
40 caaatattcc agtgcatcga aagagtttgt ggataaacgc gacagtttca agggaaagag 120
41 togatggaca gatttggaag acttagccgg toaaggaact tggggatcac gtggcggagg 180
42 actcatcaga agaagtcggg atttgtttga tcatagtggg atcaagacaa actggaggat 240
43 atggctcgcc ttggaaggga atctccggcc tggattcgag gatccgaaag ttgtacgtat 300
44 ggaaaagett acaeggettg gatttattat ettteatagg aaeetaetge aagggtaagg 360
45 cttgcaagaa gcacacgtaa gtcgcttatc ctctccactc tttcatggca tattgtcaac 420
46 gactggacaa cgcgtccgtt ttgaaacaag tgacttacct gtgaaatttg attctacacc 480
47 tgtatttage ceteacaagg tacatateae atecteceae eccaecetge ceaacttett 540
48 cagttcatct tgctctcggt ttccacattc cctgatgacc tccttgtatg ttctttgcga 600
49 acgtttgttt ctgtttctgt aggtgaccca gtacaagaag ggaaaggact ccatcttcgc 660
50 ccagggaaag cgacgatacg accgaaagca gtccggttac ggaggtcaga ccaagcccgt 720
51 tttccacaag aaggetaaga ccaccaagaa ggtcgtcctt cgattggcgg tatttttgtt 780
52 tattttgaat tetttttgtg tatqeagaet tttgatgatt atgeteetet gtegtttttt 840
53 ctcttcaaac agagtgetee gtctgeagtt egttetteet tecaaccaaa acttcaacta 900
54 cagacatcat aaacagacat cttacttcgg tgttctctct ttttttccgc agagtacaag 960
55 atgcagatga ccctcaagcg atgcaagcac ttcgagcttg gaggagacaa gaagaccaag 1020
```

56 ggttcgtctt ttgtccatat attctctggt tcacttctta tgttcctaac gtacttgttt 1080

RAW SEQUENCE LISTING DATE: 03/19/2003 PATENT APPLICATION: US/09/830,691A TIME: 14:36:22

Input Set : A:\Seqlist.txt

Output Set: N:\CRF4\03192003\I830691A.raw

```
57 cetttttggt teggatgttg tttetategg tggtgtttte ttttetttgg atgeattate 1140
58 atttategtg ttggaetgtt tteetetget egtttettte teetetgtae ttgtgettet 1200
59 caggageege catetette taa
                                                                    1223
61 <210> SEQ ID NO: 2
62 <211> LENGTH: 350
63 <212> TYPE: DNA
64 <213> ORGANISM: Phaffia rhodozyma
66 <220> FEATURE:
67 <221> NAME/KEY: CDS
68 <222> LOCATION: (30)...(347)
70 <400> SEQUENCE: 2
71 cccttcaagt ctcgtctcaa tcagtcaag atg gtc aac gtt ccc aag act cga
                                                                    53
72
                                  Met Val Asn Val Pro Lys Thr Arg
73
75 cga acc tac tgc aag ggt aag gct tgc aag aag cac acc cct cac aag
                                                                    101
76 Arg Thr Tyr Cys Lys Gly Lys Ala Cys Lys Lys His Thr Pro His Lys
                           15
       10
79 qtq acc cag tac aag aag gga aag gac tcc atc ttc gcc cag gga aag
                                                                    149
80 Val Thr Gln Tyr Lys Lys Gly Lys Asp Ser Ile Phe Ala Gln Gly Lys
81 25
                       30
                                           35
                                                                    197
83 cqa cqa tac gac cqa aag cag tcc ggt tac gga ggt cag acc aag ccc
84 Arg Arg Tyr Asp Arg Lys Gln Ser Gly Tyr Gly Gln Thr Lys Pro
87 gtt ttc cac aag aag gct aag acc acc aag aag gtc gtc ctt cga ttg
                                                                    245
88 Val Phe His Lys Lys Ala Lys Thr Thr Lys Lys Val Val Leu Arg Leu
89
                                                                    293
91 gag tgc tcc gtc tgc aag tac aag atg cag atg acc ctc aag cga tgc
92 Glu Cys Ser Val Cys Lys Tyr Lys Met Gln Met Thr Leu Lys Arg Cys
           75
                               80
341
96 Lys His Phe Glu Leu Gly Gly Asp Lys Lys Thr Lys Gly Ala Ala Ile
                           95
                                              100
99 tct ttc taa
                                                                    350
100 Ser Phe
101 105
104 <210> SEQ ID NO: 3
105 <211> LENGTH: 106
106 <212> TYPE: PRT
107 <213> ORGANISM: Phaffia rhodozyma
109 <400> SEQUENCE: 3
110 Met Val Asn Val Pro Lys Thr Arg Arg Thr Tyr Cys Lys Gly Lys Ala
                                       10
112 Cys Lys Lys His Thr Pro His Lys Val Thr Gln Tyr Lys Lys Gly Lys
               20
                                   25
114 Asp Ser Ile Phe Ala Gln Gly Lys Arg Arg Tyr Asp Arg Lys Gln Ser
           35
                               40
116 Gly Tyr Gly Gly Gln Thr Lys Pro Val Phe His Lys Lys Ala Lys Thr
       50
117
                           55
118 Thr Lys Lys Val Val Leu Arg Leu Glu Cys Ser Val Cys Lys Tyr Lys
```

DATE: 03/19/2003

TIME: 14:36:22 PATENT APPLICATION: US/09/830,691A Input Set : A:\Seqlist.txt Output Set: N:\CRF4\03192003\I830691A.raw 119 65 70 75 120 Met Gln Met Thr Leu Lys Arg Cys Lys His Phe Glu Leu Gly Gly Asp 85 90 122 Lys Lys Thr Lys Gly Ala Ala Ile Ser Phe 123 100 105 126 <210> SEQ ID NO: 4 127 <211> LENGTH: 741 128 <212> TYPE: DNA 129 <213> ORGANISM: Phaffia rhodozyma 131 <220> FEATURE: 132 <221> NAME/KEY: misc_feature 133 <222> LOCATION: (0)...(0) 134 <223> OTHER INFORMATION: n=a, t, c, or g 136 <400> SEQUENCE: 4 137 ctcgagtgga cggtggcaat ggcattcgtg tcgttggtgc tcactcgcaa cccaagcagt 60 138 cgcttacccg gggtagcctc cgggtgggcg cgatgatttg tggtgtggat tccttcccta 120 139 tgggtagaac gacgcgcaac caatcattcg gagaaccgct ccgttgtagc cgaccagtct 180 140 gattgatcaa catgccagca cgtcctccgg gacggagact ggcggggatc gtacctcatc 240 141 tqqaatcqct qqctcaatqq taqtaqtctt cacqatcqqc catqaqqqca qtctaqqtqq 300 W--> 142 gttcgcctgc cgaagactgt gtgagtgtgc tganaactaa ttgagtaccg ggggataagg 360 143 caaggegtgt ntggttgeeg gtggetgtga gegagtttge tgeaaagega tteaatgeae 420 144 cccggcttgg ccagcgcgct gcgtcacgaa acacactaaa cggttgacgc cataaagtaa 480 145 taacacactc aagtttgtgg teeegggtgg geetetgtge etgegtggga eeegaeggga 540 146 gaggaaaacg ttctgtggcc ctctcctctg tggatagtta cctggttgat cctgccagta 600 147 gtcatatgct tgtctcaaag attaagccat gcatgtctaa gtataaacaa attcatactg 660 148 tgaaactgcg aatggctcat taaatcagtt atagtttatt tgatggtacc ttgctacatg 720 149 gataactgtg gtaattctag a 741 151 <210> SEQ ID NO: 5 152 <211> LENGTH: 23 153 <212> TYPE: DNA 154 <213> ORGANISM: Artificial Sequence 156 <220> FEATURE: 157 <223> OTHER INFORMATION: CYH1, a PCR primer for the cloning of L41 genomic 158 DNA fragment W--> 160 <221> NAME/KEY: misc_feature 161 <222> LOCATION: (0)...(0) 162 <223> OTHER INFORMATION: n=a, t, c, or g W--> 164 <400> 5 W--> 165 cgcgtagtta aygtnccnaa rac 23 167 <210> SEO ID NO: 6 168 <211> LENGTH: 25 169 <212> TYPE: DNA 170 <213> ORGANISM: Artificial Sequence 172 <220> FEATURE: 173 <223> OTHER INFORMATION: CYH3, a PCR primer for the cloning of L41 genomic 174 DNA fragment 176 <400> SEQUENCE: 6 25 177 cccgggtytt ggcyttyttr tgraa 179 <210> SEQ ID NO: 7

RAW SEQUENCE LISTING

RAW SEQUENCE LISTING DATE: 03/19/2003
PATENT APPLICATION: US/09/830,691A TIME: 14:36:22

Input Set : A:\Seqlist.txt

Output Set: N:\CRF4\03192003\I830691A.raw

180 <211> · LENGTH: 24 181 <212> TYPE: DNA 182 <213> ORGANISM: Artificial Sequence 184 <220> FEATURE: 185 <223> OTHER INFORMATION: 3' RACE primer 187 <400> SEQUENCE: 7 188 ggtcagacca agcaagtttt tcac 24 190 <210> SEQ ID NO: 8 191 <211> LENGTH: 24 192 <212> TYPE: DNA 193 <213> ORGANISM: Artificial Sequence 195 <220> FEATURE: 196 <223> OTHER INFORMATION: 5' RACE primer 198 <400> SEQUENCE: 8 24 199 gtgaaaact tgcttggtct gacc 201 <210> SEQ ID NO: 9 202 <211> LENGTH: 24 203 <212> TYPE: DNA 204 <213> ORGANISM: Artificial Sequence 206 <220> FEATURE: 207 <223> OTHER INFORMATION: sense primer for the mutagenesis of L41 gene 209 <400> SEQUENCE: 9 210 ggtcagacca agcaagtttt tcac 24 212 <210> SEQ ID NO: 10 213 <211> LENGTH: 24 214 <212> TYPE: DNA 215 <213> ORGANISM: Artificial Sequence 217 <220> FEATURE: 218 <223> OTHER INFORMATION: antisense primer for the mutagenesis of L41 gene 220 <400> SEQUENCE: 10 221 gtgaaaact tgcttggtct gacc 24 223 <210> SEQ ID NO: 11 224 <211> LENGTH: 20 225 <212> TYPE: DNA 226 <213> ORGANISM: Artificial Sequence 228 <220> FEATURE: 229 <223> OTHER INFORMATION: a PCR primer corresponding to 18S rDNA 231 <400> SEQUENCE: 11 20 232 tcctagtaag cgcaagtcat 234 <210> SEQ ID NO: 12 235 <211> LENGTH: 20 236 <212> TYPE: DNA 237 <213> ORGANISM: Artificial Sequence 239 <220> FEATURE: 240 <223> OTHER INFORMATION: a PCR primer corresponding to 18S rDNA 242 <400> SEQUENCE: 12 243 ttcggccaag gaaagaaact 20 245 <210> SEQ ID NO: 13

246 <211> LENGTH: 20

RAW SEQUENCE LISTING DATE: 03/19/2003 PATENT APPLICATION: US/09/830,691A TIME: 14:36:22

Input Set : A:\Seqlist.txt

Output Set: N:\CRF4\03192003\1830691A.raw

```
247 <212> TYPE: DNA
248 <213> ORGANISM: Artificial Sequence
250 <220> FEATURE:
251 <223> OTHER INFORMATION: a PCR primer corresponding to 28S rDNA
253 <400> SEQUENCE: 13
                                                                       20
254 aatcqqatta tccqqaqcta
256 <210> SEQ ID NO: 14
257 <211> LENGTH: 20
258 <212> TYPE: DNA
259 <213> ORGANISM: Artificial Sequence
261 <220> FEATURE:
262 <223> OTHER INFORMATION: a PCR primer corresponding to 28S rDNA
264 <400> SEQUENCE: 14
                                                                       20
265 gctataacac atccggagat
267 <210> SEQ ID NO: 15
268 <211> LENGTH: 2192
269 <212> TYPE: DNA
270 <213> ORGANISM: Phaffia rhodozyma
272 <400> SEQUENCE: 15
273 aagagctatt tgaatgacga ccacaagagt gacgatcata ttgagcatag tataccaaag 60
274 gccaagaggc tgtgtggtgt tctatgagtg gccttgatta tgtgttacat aaataaactg 120
275 atctcaattt ttcaaatact tqccaacact ttcatatatt cacaccaaaa aaaqtcaqat 180
276 tggcccacaa agtcagatac acgctcgatc gtcgacgggt tcaagcactt tgtcaggcga 240
277 aagaaaggcc acagcaccac cettcaagtc tegtetcaat caggttegtc tagetttttg 300
278 tgtgcaagga tttaccgtct tgatggattt gttcgttgaa agagaggaaa gaacatgctg 360
279 aactgacgaa agtgtgaaca aaaaattgtg attttttcat tgtgtttcgc tggtctcctt 420
280 gctgggttgg gttggatcgg atttatcttc tgtgttggat ggaaaaccct gaatgttctt 480
281 ttcttggaca tcttctaaac tcgacaaaac gattcattcc tccgtactgc tctggttctg 540
282 cctttttgaa tcgcatcgat aaattcttcc ctcggaacgt tcgatcaatc tccgtcaaac 600
283 ttatcatcca aaaatctctt ctcgactgcc gccttgctcc ttttcttcgt tctttcctta 660
284 atccgctttc gactaccctc cttctcttca cactcatagt caagatggtc aacgttccca 720
285 agactegaeg tgagttatag caattteaac aacteteeag aegacaaata tteeagtgea 780
286 tcgaaagagt ttgtggataa acgcgacagt ttcaagggaa agagtcgatg gacagatttg 840
287 gaagacttag ccggtcaagg aacttgggga tcacgtggcg gaggactcat cagaagaagt 900
288 cgggatttgt ttgatcatag tgggatcaag acaaactgga ggatatggct cgccttggaa 960
289 gggaatctcc ggcctggatt cgaggatccg aaagttgtac gtatggaaaa gcttacacgg 1020
290 cttggattta ttatctttca taggaaccta ctgcaagggt aaggcttgca agaagcacac 1080
291 gtaagteget tateetetee actettteat ggeatattgt caacgaetgg acaacgegte 1140
292 cgttttgaaa caagtgactt acctgtgaaa tttgattcta cacctgtatt tagccctcac 1200
293 aaggtacata tcacatcctc ccaccccacc ctgcccaact tcttcagttc atcttgctct 1260
294 eggtttecae attecetgat gaceteettg tatgttettt gegaaegttt gtttetgttt 1320
295 ctgtaggtga cccagtacaa gaagggaaag gactccatct tcgcccaggg aaagcgacga 1380
296 tacqaccqaa aqcaqtccqq ttacqqaqqt caqaccaaqc ccqtttttca caaqaaqqct 1440
297 aagaccacca agaaggtcgt ccttcgattg ggtacgtttt tgtttatttt gaattctttt 1500
298 tgtgtatgca gacttttgat gattatgctc ctctgtcgtt ttttctcttc aaacagagtg 1560
299 ctccgtctgc agttcgttct tccttccaac caaaacttca actacagaca tcataaacag 1620
300 acatettaet teggtgttet etettttttt eegeagagta eaagatgeag atgaeeetea 1680
301 agcgatgcaa gcacttcgag cttggaggag acaagaagac caagggttcg tcttttgtcc 1740
302 atatattete tggtteaett ettatgttee taaegtaett gttteetttt tggtteggat 1800
```

RAW SEQUENCE LISTING ERROR SUMMARY DATE: 03/19/2003 PATENT APPLICATION: US/09/830,691A TIME: 14:36:23

Input Set : A:\Seqlist.txt

Output Set: N:\CRF4\03192003\I830691A.raw

Please Note:

Use of n and/or Xaa have been detected in the Sequence Listing. Please review the Sequence Listing to ensure that a corresponding explanation is presented in the $\langle 220 \rangle$ to $\langle 223 \rangle$ fields of each sequence which presents at least one n or Xaa.

Seq#:4; N Pos. 334,371 Seq#:5; N Pos. 15,18 VERIFICATION SUMMARY

DATE: 03/19/2003 TIME: 14:36:23

PATENT APPLICATION: US/09/830,691A

Input Set : A:\Seqlist.txt

Output Set: N:\CRF4\03192003\I830691A.raw

L:142 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:4 after pos.:300

M:341 Repeated in SeqNo=4

L:160 M:281 W: Numeric Fields not Ordered, <221> Sort in ascending order!

L:164 M:258 W: Mandatory Feature missing, <220> Tag not found for SEQ ID#:5

L:165 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:5 after pos.:0